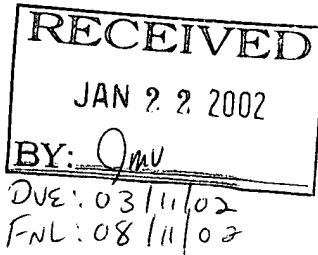


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,983	11/07/2001	Keith D. Allen	R-517

DELTAGEN, INC.  
740 Bay Road  
Redwood City, CA 94063



R-517

**CONFIRMATION NO. 9383**

## FORMALITIES LETTER



\*OC000000007296489\*

Date Mailed: 01/11/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$414.
  - \$36 for 4 total claims over 20.
  - \$378 for 9 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 849.**

*A copy of this notice **MUST** be returned with the reply.*

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## Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

03/15/2002	NEUCHAT	00000004	1000000003
01	FC:201	370,-00	0,-00
02	FC:202	330,-00	0,-00
03	FC:203	45,-00	0,-00
04	FC:204	140,-00	0,-00
05	FC:205	65,-00	0,-00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

In re PATENT APPLICATION of

Docket/Order No.

R-517

Inventors: **ALLEN et al.**

Deposit Account No.

50-1271

Appln. No.: **10/005,983**

Customer No.

26619

Filed: **November 7, 2001**

Date:

**March 11, 2002**

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

- Enclosed is a copy of the Notice to File Missing Parts.
- Signed Declaration enclosed:  Original  facsimile/copy
- Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
- Applicants hereby claim "small entity" status pursuant to 37 CFR §1.27.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

5. Basic Filing Fee .....				\$370.	\$ 370.00	201
6. Total Claims	<b>25</b>	minus 20 =	<b>05</b>	x 9.	+ 45.00	203
7. Independent Claims	<b>11</b>	minus 3 =	<b>08</b>	x 42.	+ 336.00	202
8. Multiple Dependent Claims				140.	+ 140.00	204
9. Surcharge for filing Declaration/filing fee late				65.	+ 65.00	205
10. <b>FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION</b>			<input checked="" type="checkbox"/> ENCLOSED	=	<b>\$ 956.00</b>	
11. Original due date: <b>March 11, 2002</b>						
12. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.				\$ 55. / 200. 460. / 720.	.00	215/216 217/218
13. If Assignment is included, add recording fee .....				\$ 40.	+ 40.00	581
14.				<b>TOTAL FEE ENCLOSED:</b>	=	<b>\$ 996.00</b>

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

**DELTAGEN, INC.**

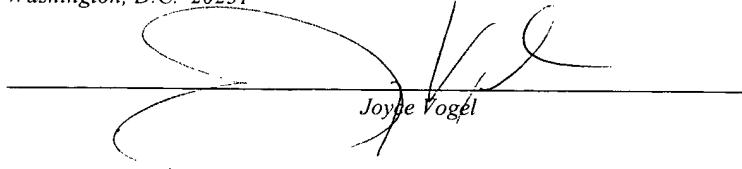
740 Bay Road  
Redwood City, CA 94063  
Tel: (650) 569-5100  
Fax: (650) 569-5280

  
**ROBERT J. DRISCOLL, Reg. No. 47,536**

Express Mail Label:  
Date of Deposit:

EV 007607356 US  
March 11, 2002

*I certify that this paper and listed enclosures are being deposited with the U.S. Post Office "Express Mail Post Office to Addressee" under 37 CFR §1.10 on the above date, addressed to Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231*

  
**Joyce Vogel**